

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

**TRANSMITTAL LETTER TO THE UNITED STATES**

921095-103903

**DESIGNATED/ELECTED OFFICE (DO/EO/US)**

U.S. APPLICATION NO.

**CONCERNING A FILING UNDER 35 U.S.C. 371 AND 37 CFR 1.491**

10/598,433

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US2005/007355

04 March 2005 (04.03.2005)

05 March 2004 (03.04.2004)

TITLE OF INVENTION

**MULTIPURPOSE LADING TIE ANCHOR SYSTEM FOR SECURING CARGO**

APPLICANT(S) FOR DO/EO/US

John Brewster

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper Copy
  - c. ☐ Statement verifying identity of above copies

**Items 12 to 19 below concern other document(s) or information included:**

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  - ☐ Form PTO-1449
  - ☐ Copies of Listed Documents
13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☒ Power(s) of Attorney and/or address letter.
17. ☐ Application Data Sheet Under 37 CFR 1.76
18. ☐ Return Receipt Postcard
19. ☐ Other items or information:

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The following fees are submitted:				Calculations	PTO Use Only
20. <input type="checkbox"/> a) Basic national fee (37 CFR 1.492(a)) .....\$300.00				\$	
21. <input type="checkbox"/> b) Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00					
All other situations .....\$200.00				\$	
22. <input type="checkbox"/> c) Search fee					
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100.00					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00				\$	
All other situations.....\$500.00					
<b>TOTAL OF 20, 21 &amp; 22 =</b>				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100=	/50=		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	-20=		x \$50.00	\$	
Independent Claims	- 3 =		x \$200.00	\$	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+ \$360.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS=</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL=</b>				\$	
<input checked="" type="checkbox"/> Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date.				\$130.00	
<b>TOTAL NATIONAL FEE=</b>				\$	
<input type="checkbox"/> Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+	
<b>TOTAL FEE ENCLOSED=</b>				\$	
				Amount to be: refunded	\$
				charged:	\$

a. ☐ A check in the amount of \$                      to cover the above fee is enclosed.

b. ☒ Please charge Deposit Account No. 12-0913 in the amount of \$130.00 to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-0913. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Customer Number: 23644**  
Barnes & Thornburg LLP  
P.O. Box 2786  
Chicago, Illinois 60690-2786

David C. Brezina, Registration No. 34,128  
One of the Attorneys for Applicant(s)

Date: November 20, 2006

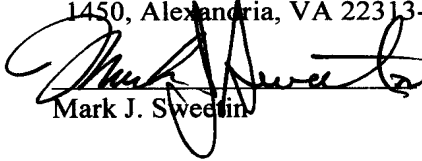
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**Certification under 37 CFR 1.8**

I hereby certify that this correspondence (and any documents referred to as attached or enclosed therein) was deposited electronically via EFS-Web to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 20, 2006.



Mark J. Sweetin